

EMKAY GLOBAL FINANCIAL SERVICES LIMITED

Format of Holding of Specified Securities

For the Quarter ended 31st December , 2017

ANNEXURE - I

1	Name of the Listed Entity	Emkay Global Financial Services Limited
2	Scrip Code/Name of the Scripe/Class of Security	532737/ EMKAY/ Equity Shares
3	Share Holding Pattern Filed under: Reg. 31(1) (a)/Reg.31(1) (b) /Reg.31(1) (c)	for the Quarter ended on 31st December, 2017
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

PARTICULAR

1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed entity has issued any convertible Securities or Warrant?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No

5 The Tabular Format for disclosure of holding of specified securities is as follows:-



EMKAY GLOBAL FINANCIAL SERVICES LIMITED

For the Quarter ended 31st December , 2017

Table I --Summary Statement Holding of Specified Securities--EQUITY SHARES

Category	Category of Shareholder	Nos of Shareholders	No of fully paid up equity shares held	No of partly paid up equity shares held	No. of shares underlying deposit receipts	Total nos. shares held	Shareholding as a % of total no of shares (calculated as per SCRR,1957 as a % of (A+B+C2))	Number of voting rights held in each class of securities -Equity Shares IX				No to shares underlying outstanding convertible securities (including)	Shareholding as a % assuming full conversion of convertible securities (as a % of dilutes share capital)	Number of locked in shares XII		Number of shares pledged or otherwise encumbered XIII	Number of shares held in dematerialized form				
								Total as a % of (A+B+C)			No of Voting Rights			Class SEG X	Class Seg Y			Total	As a % of total shares held	No	As a % of total shares
								VIII	VII	VI											
I	II	III	IV	V	VI	VII	VIII					X	XI	No	As a % of total shares held	No	As a % of total shares	XIV			
A	Promoter & Promoter Group	7	17652500	0	0	17652500	71.9511	17652500	0	17652500	0	17652500	71.9511	0	0	0	0	17652500			
B	Public	9652	6755763	0	0	6755763	27.5363	6755763		6755763		6755763	27.5363	0	0	NA	NA	6741249			
C	Non Promoter-Non Public	1	125767	0	0	125767	0.5126	125767	0	125767	0	125767	0.5126	0	0	NA	N.A.	125767			
C1	Shares Underlying DRs	0	0	0	0	0	NA	0		0		0	0	0	0	NA	NA	0			
C2	Share held by Employee Trusts	1	125767	0	0	125767	0.5126	125767	0	125767	0	125767	0.5126	0	0	NA	NA	125767			
		9660	24534030	0	0	24534030	100.00	24534030		24534030		24534030	100.00	0	0	0	0	24519516			



EMKAY GLOBAL FINANCIAL SERVICES LIMITED

For the Quarter ended 31st December, 2017

Table-II- Statement Showing Shareholding Pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of Shareholder	No of Fully Paid up Equity Shares held	partly Paid up Equity Shares held	No. of shares underlying depositary receipts	Total nos. shares held	Shareholding % calculates as per SCRR,1957 as a % of (A+B+C2)	Number of voting rights held in each class of securities -Equity Shares				No of shares underlying outstanding convertible securities (including a % of share dilution)	Shareholding as a % assuming full conversion of convertible securities (including a % of share dilution)	Number of locked in shares XII	Number of shares pledged or otherwise encumbered XIII	Number of equity shares held in dematerialized form
								Total as a % of (A+B+C)			Total					
								class X	class Y	class Z						
1	Indian Individuals/ Hindu Undivided Family															
a	Krishna Kumar Karwa	AACP9149E	6	13502500												
	Prakash Kacholia	AADPK7247C	1	4922500												
	Preeti Kacholia	AADPK7245A	1	4750000												
	Raunak Karwa	ACVPK2652Q	1	1880000												
	Krishna Kumar Karwa (HUF)		1	1750000												
		AACHK2851D	1	100000												
	Muridhar Karwa (HUF)		1	100000												
		AABHM6186L	1	100000												
b	Central Gov/State Gov															
c	Financial Institution/Banks															
d	Any Other															
	Body Corporate															
	Emkay Corporate Services P. Ltd															
		AAACE0995M														



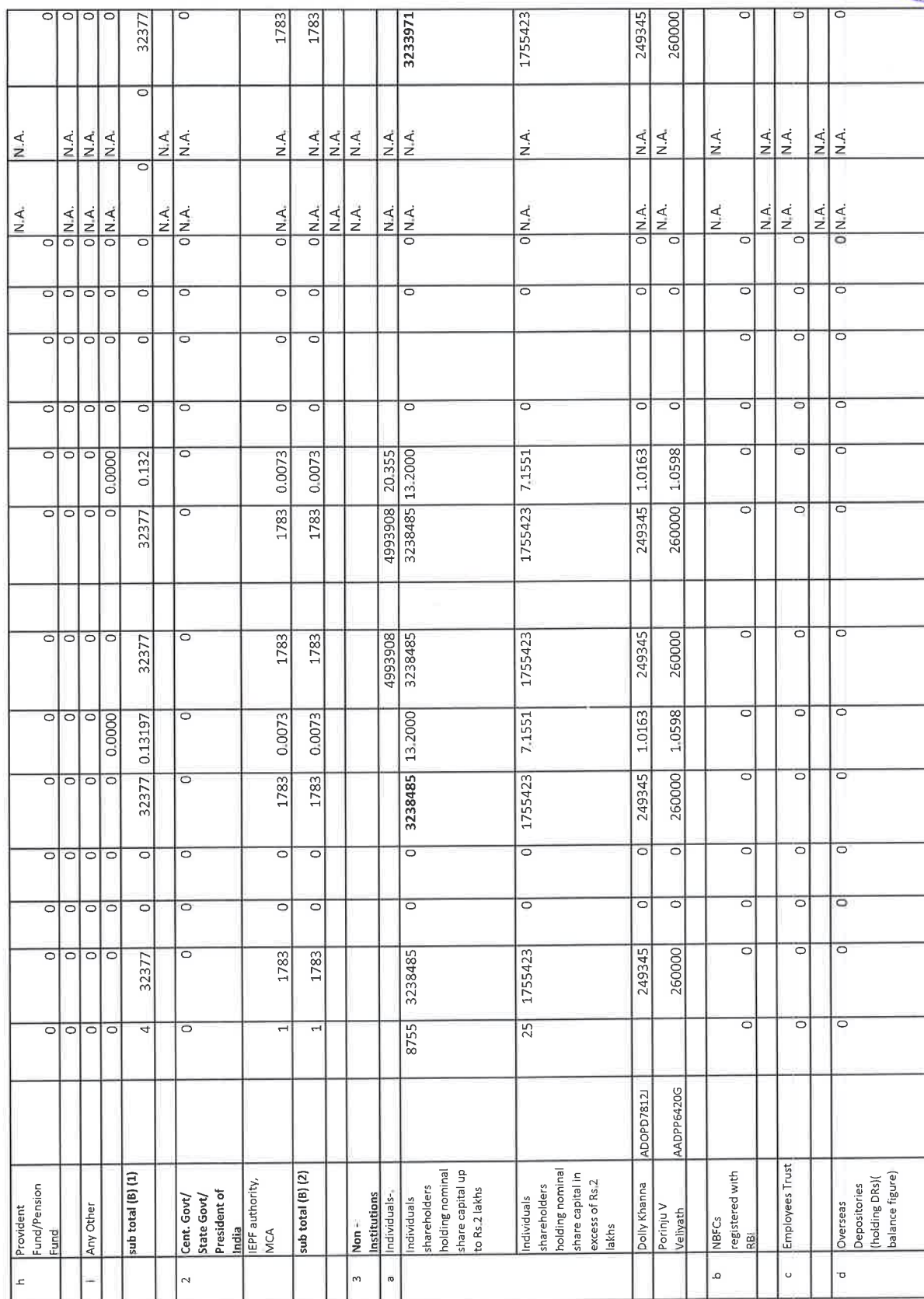
EMKAY GLOBAL FINANCIAL SERVICES LIMITED

For the Quarter ended 31st December, 2017

Table-III- Statement Showing Shareholding Pattern of the Public Shareholder

Category & Name of the Shareholders	PAN	No. of Shareholder	No of Fully Paid up Equity Shares held	partly Paid up Equity Shares held	No. of shares underlying deposit receipts	Total nos. shares held	Shareholding % calculates as per SCRR,1957 as a % of (A+B+C2)	Number of voting rights held in each class of securities -Equity Shares			No to shares underlying outstanding convertible securities (as a % of including share warrant held)	Shareholding as a % assuming full conversion of convertible securities (as a % of dilutes including share capital)	Number of locked in shares		Number of shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form		
								IX	X	XI			No	As a % of total shares held			No	As a % of total shares held
I	II	III	IV	V	VI	VII	VIII	No of Voting Rights			Total as a % of (A+B+C)					XIV		
								class x	class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0		
a	Mutual Fund		0	0		0	0.0000	0			0	0	0	0	N.A.	N.A.		
b	Venture Capital Fund		0	0	0	0	0.0000	0			0	0	0	0	N.A.	N.A.		
c	Alternate Investment Fund		0	0	0	0	0	0			0	0	0	0	N.A.	N.A.		
d	Foreign Venture Capital Investor		0	0	0	0	0	0			0	0	0	0	N.A.	N.A.		
e	Foreign Portfolio Investors		0	0	0	0	0	0			0	0	0	0	N.A.	N.A.		
		2	9178	0	0	9178	0.03741	9178		9178	0.0374	0	0	0	N.A.	N.A.		
f	Financial Institution /Bank		0	0	0	0	0	0			0	0	0	0	N.A.	N.A.		
		2	23199	0	0	23199	0.0946	23199			23199	0.0946	0	0	N.A.	N.A.		
g	Insurance Companies		0	0	0	0	0	0			0	0	0	0	N.A.	N.A.		
		0	0	0	0	0	0	0			0	0	0	0	N.A.	N.A.		





FOR THE QUARTER ENDED ON 31ST DECEMBER, 2017

Table-IV- Statement Showing Shareholding Pattern of the Non Promoter- Non Public Shareholding

Category & Name of the Shareholders	PAN	No. of Shareholder	No of Fully Paid up Equity Shares held	partly Paid up Equity Shares held	No. of shares underlying depositary receipts held	Total nos. shares held	Shareholding % calculates as per SCRR,1957 as a % of (A+B+C2)	Number of voting rights held in each class of securities -Equity Shares IX				No of shares underlying outstanding convertible securities	Total Shareholding as a % assuming full conversion of convertible securities	Number of shares pledged or otherwise encumbered XIII		Number of equity shares held in dematerialized form
								No of Voting Rights	Total as a % of (A+B+C)					No	As a % of total shares held	
I	II	III	IV	V	VI	VII	VIII	class X	class Y	Total	X	XI	XII	XIII	XIV	
1 Custodian/DR Holder			0	0	0	0	0	0	0	0	0	0	0	N.A.	0	
2 Employee Benefit Trust (under SEBI (Share Based Employee Benefit) Regulations, 2014)			1	125767		125767	0.5126	125767		125767	0.5126				125767	
Emkay Employees Welfare Trust	AAATE3866G	1	125767	0	0	125767	0.5126	125767		125767	0.5126	0	0	N.A.	125767	
														N.A.		
Total Non Promoter-Non Public Shareholding (C) = (C) (1) + (C) (2)		1	125767	0	0	125767	0.5126	125767	0	125767	0.5126	0	0	N.A.	125767	

